

Clausal Deletion Backdoors for QBF

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Theory vs Practice of SAT Solving

Theory

CNF-SAT is NP-complete.

Practice

SAT solvers can deal with instances with millions of variables.

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Backdoors?

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Proposed explanation: backdoors

$$(x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_5} \vee \overline{x_4} \vee \overline{x_3}) \wedge (x_5 \vee \overline{x_6}) \wedge (\overline{x_7} \vee \overline{x_8} \vee \overline{x_3}) \wedge (x_8 \vee \overline{x_9} \vee x_3) \wedge (\overline{x_1} \vee \overline{x_8} \vee x_3)$$

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$$x_3 = 1: (\overline{x_5} \vee \overline{x_4}) \wedge (x_5 \vee \overline{x_6}) \wedge (\overline{x_7} \vee \overline{x_8})$$

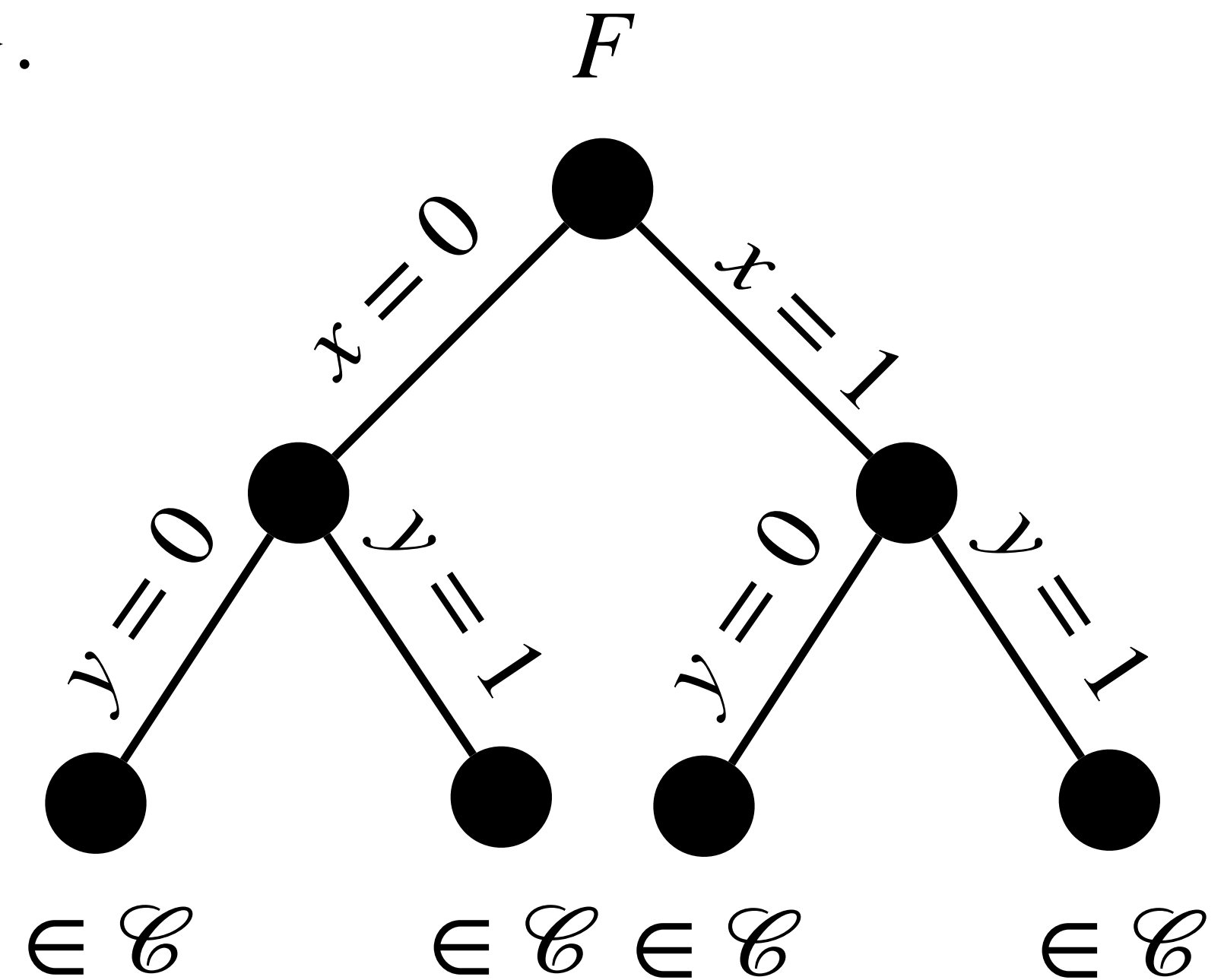
$$x_3 = 0: (x_1 \vee \overline{x_2}) \wedge (x_5 \vee \overline{x_6}) \wedge (x_8 \vee \overline{x_9}) \wedge (\overline{x_1} \vee \overline{x_8})$$

Theory vs Practice of SAT Solving

Backdoors

A backdoor to a class $\mathcal{C}$ for a formula F is a set of variables $B \subseteq V(F)$ such that $F[\sigma]$ belongs to $\mathcal{C}$ for all assignments $\sigma : B \rightarrow \{0,1\}$.

Tractable classes $\mathcal{C}$ for SAT: 2-CNF, Horn, Affine, ...



Theory vs Practice of SAT Solving

Backdoors

A backdoor to a class $\mathcal{C}$ for a formula F is a set of variables $B \subseteq V(F)$ such that $F[\sigma]$ belongs to $\mathcal{C}$ for all partial assignments $\sigma : B \rightarrow \{0,1\}$.

Tractable classes $\mathcal{C}$ for SAT: 2-CNF, Horn, Affine, ...

Problem 1: Finding a small backdoor to a class $\mathcal{C}$.

Problem 2: Evaluating F given a backdoor B . $2^{|B|} \cdot \text{poly}(n)$ time

Backdoors for QBF?

QBF: Does $\mathcal{Q} . F$ evaluate to 1?

$$\mathcal{Q} = \exists x_1 \forall x_2 \forall x_3 \exists x_4 \forall x_5 \exists x_6 \exists x_7 \exists x_8 \forall x_9$$

$$F = (x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_3} \vee \overline{x_4} \vee \overline{x_5}) \wedge (x_5 \vee \overline{x_6}) \wedge (\overline{x_7} \vee \overline{x_8} \vee \overline{x_3}) \wedge (x_8 \vee \overline{x_9} \vee x_3) \wedge (\overline{x_1} \vee \overline{x_8} \vee x_3)$$

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QBF as a game: $\exists$ -player and $\forall$ -player take turns according to $\mathcal{Q}$.

Does $\exists$ -player have a winning strategy (forcing F to be 1)?

Backdoors for QBF?

Quantifier prefix matters

QBF: Does $\mathcal{Q} . F$ evaluate to 1?

$$\exists u \forall x. (\bar{u} \vee x) \wedge (u \vee \bar{x})$$

$(\bar{u} \vee x) \wedge (u \vee \bar{x})$ is **satisfiable** // $(u \mapsto 1, x \mapsto 0)$

$\exists u \forall x. (\bar{u} \vee x) \wedge (u \vee \bar{x})$ is **false**

Backdoors for QBF?

Quantifier prefix matters for backdoors

QBF: Does $\mathcal{Q} . F$ evaluate to 1?

$$\forall u \exists x. (\bar{u} \vee x) \wedge (u \vee \bar{x})$$

$\forall u \exists x. (\bar{u} \vee x) \wedge (u \vee \bar{x})$ is **true**

Branch $x = 0$: $\forall u. (u)$ is **false**

Branch $x = 1$: $\forall u. (\bar{u})$ is **false**

Backdoors for QBF?

Tractable classes

QBF: Does $Q . F$ evaluate to 1?

Schaefer: QBF is in P if F is in

1. 2-CNF
2. Affine
3. Horn
4. Dual Horn

Backdoors for QBF?

Attempt 1: out-of-class clauses

QBF: Does $Q . F$ evaluate to 1?

Fix a tractable class $\mathcal{C}$ for QBF.

Backdoor to $\mathcal{C}$: clauses in F that are not in $\mathcal{C}$.

E.g. $(x_1 \vee \overline{x_2}) \wedge (\overline{x_3} \vee \overline{x_3}) \wedge (x_5 \vee \overline{x_6}) \wedge (\overline{x_7} \vee \overline{x_3}) \wedge (x_8 \vee \overline{x_9} \vee x_3) \wedge (\overline{x_1} \vee \overline{x_8} \vee x_3)$

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2-CNF

Backdoors for QBF?

Attempt 1: out-of-class clauses

Bad news: solving CNF is equivalent to QBF(2-CNF) + 1 extra clause

$$\begin{array}{cccccc} C_1 & C_2 & C_3 & C_4 & C_5 & C_6 \\ F = (x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_5} \vee \overline{x_4} \vee \overline{x_3}) \wedge (x_5 \vee \overline{x_6}) \wedge (\overline{x_7} \vee \overline{x_8} \vee \overline{x_3}) \wedge (x_8 \vee \overline{x_9} \vee x_3) \wedge (\overline{x_1} \vee \overline{x_8} \vee x_3) \end{array}$$

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$$F' = (\bar{C}_1 \vee \bar{C}_2 \vee \bar{C}_3 \vee \bar{C}_4 \vee \bar{C}_5 \vee \bar{C}_6) \wedge \dots$$

Backdoors for QBF?

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$$F = \overset{C_1}{(x_1 \vee \bar{x}_2 \vee x_3)} \wedge \overset{C_2}{(\bar{x}_5 \vee \bar{x}_4 \vee \bar{x}_3)} \wedge \overset{C_3}{(x_5 \vee \bar{x}_6)} \wedge \overset{C_4}{(\bar{x}_7 \vee \bar{x}_8 \vee \bar{x}_3)} \wedge \overset{C_5}{(x_8 \vee \bar{x}_9 \vee x_3)} \wedge \overset{C_6}{(\bar{x}_1 \vee \bar{x}_8 \vee x_3)}$$

$$F' = (\bar{C}_1 \vee \bar{C}_2 \vee \bar{C}_3 \vee \bar{C}_4 \vee \bar{C}_5 \vee \bar{C}_6) \wedge (x_1 \rightarrow C_1) \wedge (\bar{x}_2 \rightarrow C_1) \wedge (x_3 \rightarrow C_1) \wedge \dots$$

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$$F' = (\bar{C}_1 \vee \bar{C}_2 \vee \bar{C}_3 \vee \bar{C}_4 \vee \bar{C}_5 \vee \bar{C}_6) \wedge \bigwedge_{C_i \in F} \bigwedge_{\ell \in C_i} (\ell \rightarrow C_i)$$

$$F \text{ is satisfiable} \iff \forall\text{-player wins on } \forall x_1, \dots, x_9 \exists C_1, \dots, C_6 . F'$$

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huge clause

$$F \text{ is satisfiable} \iff \forall\text{-player wins on } \forall x_1, \dots, x_9 \exists C_1, \dots, C_6 . F'$$

Backdoors for QBF

Attempt 2: CC-backdoors

QBF: Does $\mathcal{Q} . F$ evaluate to 1?

Fix a tractable class $\mathcal{C}$ for QBF.

CC-backdoor to $\mathcal{C}$: variables in F covering all clauses outside $\mathcal{C}$.

E.g. $(x_1 \vee \bar{x}_2) \wedge (\bar{x}_3 \vee \bar{x}_3) \wedge (x_5 \vee \bar{x}_6) \wedge (\bar{x}_7 \vee \bar{x}_3) \wedge (x_8 \vee \bar{x}_9 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_8 \vee x_3)$

$B = \{x_1, x_3, x_8, x_9\}$ is a clause-covering backdoor to 2-CNF.

Backdoors for QBF

CC-Backdoors: Alternative view

Fix a tractable class $\mathcal{C}$ for QBF.

How fast can we solve QBF on formulas $Q . F_{in} \wedge F_{out}$ where

- F_{in} belongs to $\mathcal{C}$, and
- F_{out} is an arbitrary formula on k variables (k is small)

E.g. $(x_1 \vee \bar{x}_2) \wedge (\bar{x}_3 \vee \bar{x}_3) \wedge (x_5 \vee \bar{x}_6) \wedge (\bar{x}_7 \vee \bar{x}_3) \wedge (x_8 \vee \bar{x}_9 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_8 \vee x_3)$

Note: F_{in} and F_{out} may share variables!

Backdoors for QBF

Our Results

CC-backdoor to $\mathcal{C}$: # variables in F covering all clauses outside $\mathcal{C}$.

Let k be the CC-backdoor size.

1. $2^k \cdot \text{poly}(n)$ algorithms for QBF with CC-backdoor to 2-CNF
2. $2^k \cdot \text{poly}(n)$ algorithms for QBF with CC-backdoor to Affine
3. W[1]-hard with CC-backdoor to 3-Horn

Algorithm for 2-CNF

One-variable Lookahead

$$Q = \exists x \forall y \exists a \exists b \exists c \exists d$$

$$F = (x \vee a) \wedge (\bar{x} \vee b) \wedge (y \vee b) \wedge (\bar{a} \vee \bar{b} \vee \bar{c} \vee \bar{d})$$

$$\text{CC-Backdoor } B = \{a, b, c, d\}$$

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$$\text{CC-Backdoor } B = \{a, b, c, d\}$$

$$\text{What happens if } x \mapsto 0? \quad x = 0 \wedge F_{2CNF} \implies a = 1$$

$$\text{What happens if } x \mapsto 1? \quad x = 1 \wedge F_{2CNF} \implies b = 1$$

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What happens if $x \mapsto 0$? $x = 0 \wedge F_{2CNF} \implies a = 1$

What happens if $x \mapsto 1$? $x = 1 \wedge F_{2CNF} \implies b = 1$

Both choices reduce backdoor size.

Since x is $\exists$, try both and accept if either branch accepts.

Algorithm for 2-CNF

One-variable Lookahead (case $x = 0$)

$$Q = \forall y \exists b \exists c \exists d$$

$$F = (y \vee b) \wedge (\bar{b} \vee \bar{c} \vee \bar{d})$$

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What happens if $y \mapsto 0$? $y = 0 \wedge F_{2CNF} \implies b = 1$

What happens if $y \mapsto 1$? $y = 1 \wedge F_{2CNF}$ has no effect on B

Algorithm for 2-CNF

One-variable Lookahead (case $x = 0$)

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$$F = (y \vee b) \wedge (\bar{b} \vee \bar{c} \vee \bar{d})$$

$$\text{CC-Backdoor } B = \{b, c, d\}$$

What happens if $y \mapsto 0$? $y = 0 \wedge F_{2\text{CNF}} \implies b = 1$

What happens if $y \mapsto 1$? $y = 1 \wedge F_{2\text{CNF}}$ has no effect on B

Branch $y \mapsto 0$ is “harder” than $y \mapsto 1$.

Since y is $\forall$, proceed with the harder branch.

Algorithm for 2-CNF

One-variable Lookahead (formalized)

QBF formula $\mathcal{Q} . F$ where $F = F_{2CNF} \wedge F_{out}$

Backdoor: $B = V(F_{out})$

1. Pick the first variable x in $\mathcal{Q}$.
2. Compute U_0 and U_1 : unit propagations from $x = 0$ and $x = 1$.
3. Check if $\mathcal{Q} . F_{2CNF} [U_b]$ is true for $b \in \{0,1\}$.
4. If both true and some $U_b \cap B = \emptyset$:
 $\exists x$: keep U_b $\forall x$: keep $U_{\neg b}$
5. Otherwise, both branches hit B .

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5. Otherwise, both branches hit B .

Key Lemma: In case 5,

$$\mathcal{Q} . F[x = \neg b] \implies \mathcal{Q} . F[x = b]$$

Algorithm for Affine

$$Q = \forall x_1 \exists x_2 \exists x_3 \exists x_4 \exists x_5$$

$$B = \{x_1, x_3, x_4\}$$

$$F = (x_1 + x_2 + x_5 = 0) \wedge (x_4 + x_5 = 1) \wedge (x_1 + x_3 = 0) \wedge (x_2 + x_3 = 1) \wedge (x_1 \vee x_3 \vee x_4)$$

Observations:

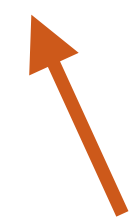
1. In every equation the innermost variable is $\exists$, otherwise reject.

Algorithm for Affine

$$Q = \forall x_1 \exists x_2 \exists x_3 \exists x_4 \exists x_5$$

$$B = \{x_1, x_3, x_4\}$$

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$$x_5 = x_4 + 1$$

Observations:

1. In every equation the innermost variable is $\exists$, otherwise reject.
2. Eliminate any innermost variable that is not in the backdoor.

Algorithm for Affine

$$Q = \forall x_1 \exists x_2 \exists x_3 \exists x_4$$

$$B = \{x_1, x_3, x_4\}$$

$$F = (x_1 + x_2 + x_4 = 1) \wedge (x_1 + x_3 = 0) \wedge (x_2 + x_3 = 1) \wedge (x_1 \vee x_3 \vee x_4)$$

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Algorithm for Affine

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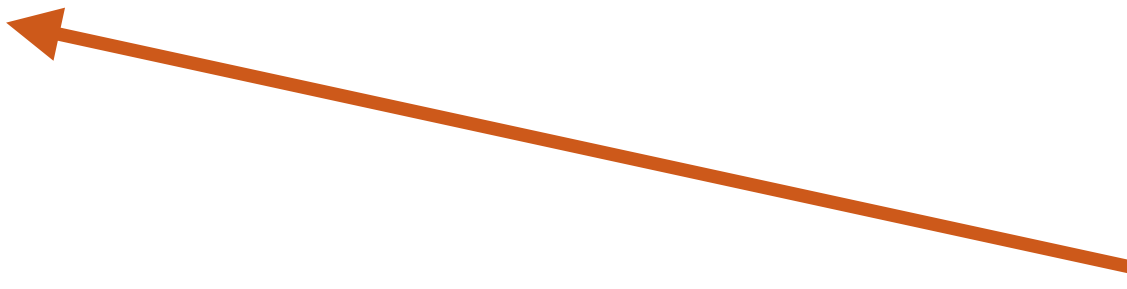
1. In every equation the innermost variable is $\exists$, otherwise reject.
2. Eliminate any innermost variable that is not in the backdoor.
3. Pivot on any innermost non-backdoor variable that is not private.

Algorithm for Affine

$$Q = \forall x_1 \exists x_2 \exists x_3 \exists x_4$$

$$B = \{x_1, x_3, x_4\}$$

$$F = (x_1 + x_2 + x_4 = 1) \wedge (x_1 + x_3 = 0) \wedge (x_2 + x_3 = 1) \wedge (x_1 \vee x_3 \vee x_4)$$


$$x_2 = x_3 + 1$$

Observations:

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Algorithm for Affine

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$$B = \{x_1, x_3, x_4\}$$

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Observations:

1. In every equation the innermost variable is $\exists$, otherwise reject.
2. Eliminate any innermost variable that is not in the backdoor.
3. Pivot on any innermost non-backdoor variable that is not private.
4. Reduce every equation to contain at most one non-backdoor variable.

Algorithm for Affine

$$Q = \forall x_1 \exists x_2 \exists x_3 \exists x_4$$

$$B = \{x_1, x_3, x_4\}$$

$$F = (x_1 + x_3 + x_4 = 0) \wedge (x_1 + x_3 = 0) \wedge (x_2 + x_3 = 1) \wedge (x_1 \vee x_3 \vee x_4)$$

Reduce every equation to contain at most one non-backdoor variable.

Total number of variables: at most $2k$ **Kernel!**

Algorithm for Affine

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Reduce every equation to contain at most one non-backdoor variable.

Total number of variables: at most $2k$ **Kernel!**

Furthermore: at most k equations $\implies$ at most k variables require branching. Time 2^k

Summary

Towards Full Classification

Schaefer's theorem: QBF is in P if the formula is:

1. 2-CNF
2. Affine
3. Horn
4. Dual Horn

Summary

Towards Full Classification

Our theorem: QBF with a CC-backdoor to

1. 2-CNF is in **FPT**.
2. Affine is in **FPT**.
3. Horn is **W[1]-hard**.
4. Dual Horn is **W[1]-hard**.

Is the classification complete?

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2. Affine is in **FPT**.
3. Horn is **W[1]-hard**.
4. Dual Horn is **W[1]-hard**.

Is the classification complete?

Open case: clauses of the form (x) , $(\bar{x})$, $(x \rightarrow y)$ and $(\bar{x}_1 \vee \dots \vee \bar{x}_d)$ for $d \geq 3$.